

CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg  
Application No.: 09/843,093 Confirmation No.: 8423  
Filed : April 26, 2001  
For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA  
Group Art Unit : 2131  
Examiner : Not yet assigned

**RECEIVED**

**JAN 21 2003**  
**GROUP 3600**

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

**RECEIVED**  
**JAN 14 2003**  
**Technology Center 2100**

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to  
the following documents:

U.S. Patents

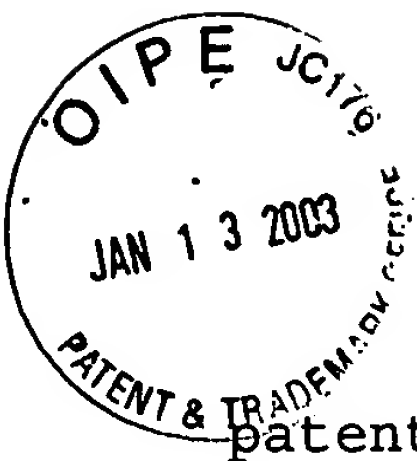
6,122,635	Burakoff et al.	09/19/2000
6,448,979B1	Schena et al.	09/10/2002

These documents are listed on the accompanying  
Form PTO-1449 (submitted in duplicate) and copies are  
enclosed herewith.

Certification Pursuant  
to 37 C.F.R. § 1.97(e)

The information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the December 12, 2002 PCT Search Report on applicant's corresponding application PCT/US02/11413. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.



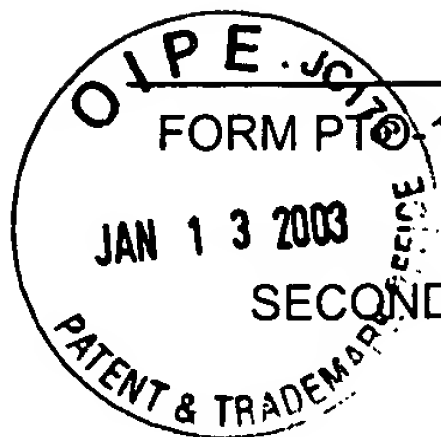
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Andrew Van Court  
Andrew Van Court  
Registration No. 48,506  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I Heraby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
COMMISSIONER FOR  
PATENTS P.O. BOX 2327  
ARLINGTON, VA 22202 on

January 6, 2003  
Lillian Garcia  
Lillian Garcia  
Signature of Person Signing



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICESECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTDOCKET NO.  
CF-017APPLICATION  
NO. 09/843,093APPLICANT  
Phillip M. GinsbergCONFIRMATION  
NO. 8423FILING DATE  
April 26, 2001GROUP  
2131

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,122,635	09/19/2000	Burakoff et al.	707	102	
	6,448,979B1	09/10/2002	Schena et al.	345	741	04/25/1998

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



Rev. 04/01

Docket No. CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg  
Application No.: 09/843,093 Confirmation No.: 8423  
Filed : April 26, 2001  
For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA  
Group Art Unit : 2131  
Examiner : Not yet assigned

RECEIVED  
JAN 21 2003  
GROUP 3600

New York, New York  
January 6, 2003

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

RECEIVED  
JAN 14 2003

Technology Center 2100

TRANSMITTAL LETTER FOR  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental  
Information Disclosure Statement in the above-identified  
application. This Statement is submitted:

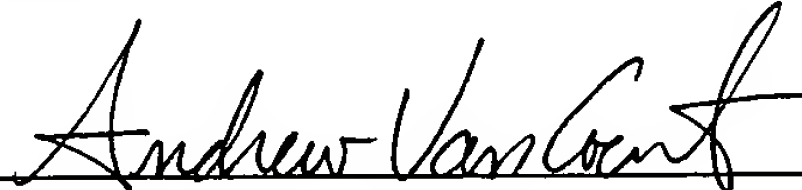
- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

The information contained in this Second  
Supplemental Information Disclosure Statement was cited in a  
communication from a patent office in a counterpart foreign  
application not more than three months prior to the filing  
of this Statement. In particular, these references were

cited in the December 12, 2002 PCT Search Report on applicant's corresponding application PCT/US02/11413. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee

In accordance with 37 C.F.R. § 1.97(c)(i), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Second Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Andrew Van Court  
Registration No. 48,506  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I Heraby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to:  
COMMISSIONER FOR  
PATENTS P.O. BOX 2327  
ARLINGTON, VA 22202 on

January 6, 2003

Lillian Garcia



Signature of Person Signing